

P29679.A02



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Siegfried ANSORGE et al.

Confirmation No. 2223

Appln. No. : 10/575,878

Attention: OIPE, Customer
Service Center

Filed : April 14, 2006

For : DUAL ALANYL AMINOPEPTIDASE AND DIPEPTIDYL PEPTIDASE IV
INHIBITORS FOR FUNCTIONALLY INFLUENCING DIFFERENT CELLS
AND FOR TREATING IMMUNOLOGICAL, INFLAMMATORY,
NEURONAL, AND OTHER DISEASES

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Missing Parts
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

The third Inventor's information for the above-identified application is missing on the Official Filing Receipt. The third Inventor's information should read:

Karsten Nordhoff, Magdeburg, GERMANY

In this regard, the executed Declaration filed on September 15, 2006 may be referred to. A copy of the executed Declaration and a copy of the incorrect Official Filing Receipt with the requested change noted thereon are enclosed herewith.

Please add the third Inventor's information of the above-identified application to read as stated above and forward a corrected copy of the Filing Receipt to the undersigned.

P29679.A02



Respectfully submitted,
Siegfried ANSORGE et al.

A handwritten signature in cursive script, appearing to read "Neil F. Greenblum".

Neil F. Greenblum
Reg. No. 28,394

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Heribert F. Muensterer
Reg. No. 50,417



UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office
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Alexandria, Virginia 22313-1450
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/575,878	09/15/2006	1614	1280	P29679	4	20	3

CONFIRMATION NO. 2223

7055
GREENBLUM & BERNSTEIN, P.L.C.
1950 ROLAND CLARKE PLACE
RESTON, VA20191

FILING RECEIPT

Date Mailed: 12/29/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Siegfried Ansorge, Hohenwarthe, GERMANY;

Ute Bank, Stassfurt, GERMANY;

Michael Taeger, Heinrichsberg, GERMANY;

Frank Striggow, Gerwisch, GERMANY;

Karsten Nordhoff, Magdeburg, GERMANY

Power of Attorney: The patent practitioners associated with Customer Number 07055

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/11644 10/15/2004

Foreign Applications

GERMANY 103 48 044.7 10/15/2003

If Required, Foreign Filing License Granted: 12/27/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/575,878**

Projected Publication Date: 04/05/2007

Non-Publication Request: No

Early Publication Request: No

Title

Dual alanyl aminopeptidase and dipeptidyl peptidase iv inhibitors for functionally

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 **COPY**

influencing different cells and for treating immunological inflammatory, neuronal and other diseases

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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 **COPY**

Declaration and Power of Attorney For Utility or Design Patent Application

Erklärung für Patentanmeldungen zur Gebrauchseignung und Entwicklung mit Vollmacht

German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den im nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit folgendem Titel beantragt wird:

DUALE ALANYL-AMINOPEPTIDASE- UND DIPEPTIDYLPEPTIDASE IV-INHIBITOREN ZUR FUNKTIONELLEN BEEINFLUSSUNG UNTERSCHIEDLICHER ZELLEN UND ZUR BEHANDLUNG IMMUNOLOGISCHER, ENTZÜNDLICHER, NEURONALER UND ANDERER ERKRANKUNGEN

deren Beschreibung hier beigefügt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

- ☒ wurde angemeldet am 15. Oktober 2004
unter der US-Anmeldenummer 10/575,878
und wurde am 14. April, 2006 abgeändert (falls zutreffend)
oder
unter der PCT internationalen Anmeldungsnummer PCT/EP2004/011644
und wurde am _____ abgeändert (falls zutreffend).

Ich bestätige hiermit, daß ich den Inhalt der oben angegebene Patentanmeldung, einschließlich der Ansprüche, die eventuell durch einen oben erwähnten Zusatzantrag abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, 1.56 von Belang sind.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Title 35, US-Code, 119 (a)-(d), bzw. 365(b) aller unten aufgeführten Auslandsanmeldungen für Patente oder Erfinderurkunden, oder 365(a) aller PCT internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. Erfinderurkunden oder PCT internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

Prior foreign applications
Frühere ausländische Anmeldungen

<u>103 48 044.7</u>	<u>GERMANY</u>
(Number)	(Country)
(Nummer)	(Land)
(Number)	(Country)
(Nummer)	(Land)

15/OCTOBER/2003
(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

Priority claimed
Prioritätsanspruch

☒ Yes
Ja

☐ No
Nein

☐ Yes
Ja

☐ No
Nein

☐ Zusätzliche einstweilige Anwendungsnummern sind im Prioritätsanhang aufgeführt.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

DUAL ALANYL AMINOPEPTIDASE AND DIPEPTIDYL PEPTIDASE IV INHIBITORS FOR FUNCTIONALLY INFLUENCING DIFFERENT CELLS AND FOR TREATING IMMUNOLOGICAL, INFLAMMATORY, NEURONAL, AND OTHER DISEASES

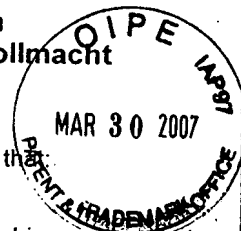
the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on October 15, 2004 as
United States Application Number 10/575,878
and was amended (if applicable)
on April 14, 2006
or,
PCT International Application Number PCT/EP2004/011644
and was amended (if applicable)
on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority under Title 35, United States Code 119 (a-d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below. I have also identified below by checking the "No" box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:



100%

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

German Language Utility or Design Patent Application Declaration

Ich beanspruche hiermit Prioritätsvorteile unter Title 35, US-Code, 119(e) aller US-Hilfsanmeldungen wie unten aufgezählt.

I hereby claim the benefit under Title 35, United States Code 119(e) of any United States provisional application(s) listed below.

(Application No.)
(Aktenzeichen)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

(Number)
(Aktenzeichen)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

(Number)
(Aktenzeichen)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

- ☐ Zusätzliche einstweilige Anwendungsnummern sind im ergänzenden Prioritätsanhang aufgeführt.

- ☐ Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Ich beanspruche hiermit die mir unter Title 35, US-Code, 120 zustehenden Vorteile aller unten aufgeführten US-Patentanmeldungen bzw. 365(c) aller PCT internationalen Anmeldungen, welche die Vereinigten Staaten von Amerika benennen, und erkenne, insofern der Gegenstand eines jeden früheren Anspruchs dieser Patentanmeldung nicht in einer US-Patentanmeldung, bzw. PCT internationalen Anmeldung in in einer gemäß dem ersten Absatz von Title 35, US-Code, 112 vorgeschriebenen Art und Weise offenbart wurde, meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Title 37, Code of Federal Regulations, 1.56 von Belang sind und die im Zeitraum zwischen dem Anmeldetag der früheren Patentanmeldung und dem nationalen oder im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) gültigen internationalen Anmeldetags bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application No.)
(Aktenzeichen)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

Choose...

(Status)
(patented, pending, abandoned)
(patentiert, schwebend, aufgegeben)

(Application No.)
(Aktenzeichen)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

Choose...

(Status)
(patented, pending, abandoned)
(patentiert, schwebend, aufgegeben)

- ☐ Zusätzliche USA oder internationale Anwendungsnummern sind im ergänzenden Prioritätsanhang aufgeführt.

- ☐ Additional U.S. or international application numbers are listed on a supplemental priority sheet attached hereto.

Ich erkläre hiermit, daß alle in der vorliegenden Erklärung von mir gemachte Angaben nach bestem Wissen und Gewissen der Wahrheit entsprechen, und ferner daß ich diese eidesstattliche Erklärung in Kenntnis dessen ablege, daß wissentlich und vorsätzlich falsche Angaben oder dergleichen gemäß 1001, Title 18 des US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können und daß derartige wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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The undersigned hereby authorizes the U.S. attorney or agent appointed herein to accept and follow instructions from either his foreign patent agent or corporate representative, if any, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent appointed herein will be so notified by the undersigned.

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German Language Utility or Design Patent Application Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den sich mit der Kundennummer befassenden Patentanwalt (Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt und weise an, dass alle Korrespondenz mit dieser Kundennummer adressiert wird.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorney(s) and/or agent(s) associated with the Customer Number provided below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number:

KUNDENNUMMER 07055

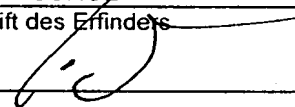
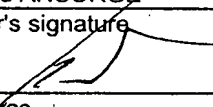
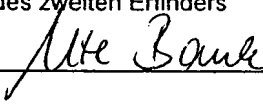
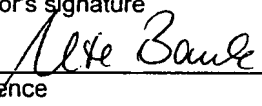
CUSTOMER NUMBER 07055

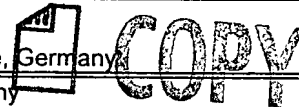
At: Greenblum & Bernstein, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191

Telefongespräche bitte richten an:

Direct Telephone Calls to:

Greenblum & Bernstein, P.L.C. (703) 716-1191

Vor- und Nachname des einzigen oder ersten Erfinders		Full name of sole or first inventor	
Siegfried ANSORGE		Siegfried ANSORGE	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
	12.06.06		June 12, 2006
Wohnsitz		Residence	
Hohenwarthe, Deutschland		Hohenwarthe, Germany	
Staatsangehörigkeit		Citizenship	
Deutschland		Germany	
Postanschrift		Post Office Address	
Am Sportplatz 17, 39291 Hohenwarthe, Deutschland		Am Sportplatz 17, 39291 Hohenwarthe, Germany	
Vor- und Nachname des zweiten Miterfinders (falls zutreffend)		Full name of second joint inventor, if any	
Ute BANK		Ute BANK	
Unterschrift des zweiten Erfinders	Date	Inventor's signature	Date
	June 27, 2006		June 27, 2006
Wohnsitz		Residence	
Stassfurt, Deutschland		Stassfurt, Germany	
Staatsangehörigkeit		Citizenship	
Deutschland		Germany	
Postanschrift		Post Office Address	
Baumeckerstrasse 25, 39418 Stassfurt, Deutschland		Baumeckerstrasse 25, 39418 Stassfurt, Germany	
(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).		(Supply similar information and signature for third and subsequent joint inventors.)	



German Language Utility or Design Patent Application Declaration

Vor-und Nachname des dritten Miterfinders (falls zutreffend)		Full name of third inventor, if any	
Karsten NORDHOFF		Karsten NORDHOFF	
Unterschrift des dritten Erfinders	Date 27.06.06	Inventor's signature	Date 27.06.06
Wohnsitz		Residence	
Magdeburg, Deutschland		Magdeburg, Germany	
Staatsangehörigkeit		Citizenship	
Deutschland		Germany	
Postanschrift		Post Office Address	
Salbker Strasse 8, 39120 Magdeburg, Deutschland		Salbker Strasse 8, 39120 Magdeburg, Germany	
Vor-und Nachname des vierten Miterfinders (falls zutreffend)		Full name of fourth inventor, if any	
Michael TAEGER		Michael TAEGER	
Unterschrift des vierten Erfinders	Date 27.06.2006	Inventor's signature	Date 27.06.2006
Wohnsitz		Residence	
Heinrichsberg, Deutschland		Heinrichsberg, Germany	
Staatsangehörigkeit		Citizenship	
Deutschland		Germany	
Postanschrift		Post Office Address	
Akazienstrasse 29, 39326 Heinrichsberg, Deutschland		Akazienstrasse 29, 39326 Heinrichsberg, Germany	
Vor-und Nachname des fünften Miterfinders (falls zutreffend)		Full name of fifth inventor, if any	
Frank STRIGGOW		Frank STRIGGOW	
Unterschrift des fünften Erfinders	Date 27.6.2006	Inventor's signature	Date 27.6.2006
Wohnsitz		Residence	
Gerwisch, Deutschland		Gerwisch, Germany	
Staatsangehörigkeit		Citizenship	
Deutschland		Germany	
Postanschrift		Post Office Address	
Domblick 49a, 39175 Gerwisch, Deutschland		Domblick 49a, 39175 Gerwisch, Germany	
Vor-und Nachname des sechsten Miterfinders (falls zutreffend)		Full name of sixth inventor, if any	
Unterschrift des sechsten Erfinders		Inventor's signature	
Date		Date	
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	
(Bitte entsprechende Informationen und Unterschriften im Falle von siebten und weiteren Miterfindern angeben).		(Supply similar information and signature for seventh and subsequent joint inventors.)	

